

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. Carr 8-4-5In re application of: Dustin W. Carr, Ho Bun Chan, and Alex T. TranSerial No.: Not Yet AssignedGroup No.: Not Yet AssignedFiled: Not Yet AssignedExaminer: Not Yet AssignedMatter No.: 990.0502For: Optical Substance Analyzer**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof.

Certification Under 37 CFR § 1.704(d)

- [] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

- [] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

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Certification Under 37 CFR 1.10

"Express Mail" Mailing Label No. EV140153705 Date of Deposit 12/22/2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Amy Laudenslager
(Name of person mailing)

Amy Laudenslager
(Signature of person mailing)

Fee Under 37 CFR § 1.97(c)

☐ The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).

Petition Under 37 CFR § 1.97(d)

☐ This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$130.00 as set forth in § 1.17(i).

Provision of Copies of References

☒ A copy of each of the listed references is enclosed herewith and forms a part hereof.

☐ A translation of each of Reference(s) ___ is enclosed herewith and forms a part hereof.

☐ A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

☐ A copy of the European Search Report from a corresponding EPO application is enclosed herewith.

☐ The above-captioned U.S. patent application is a

- ☐ continuation
- ☐ divisional
- ☐ continuation-in-part

application of U.S. application serial number ____, filed on ____ as Attorney Docket No. ____.
Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.


No

~~Authorization is given to charge the deposit account of Mendelsohn & Associates, P.C. Deposit Account # _____ for any fee under § 1.07(c) and § 1.17(p) or under § 1.97(d) and § 1.17(i).~~

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Respectfully submitted,

Date: 12/22/03
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Enclosures

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

Carr 8-4-5
APPLICANT

Not yet assigned

Dustin W. Carr, Ho Bun Chan, and Alex T. Tran
FILING DATE

GROUP

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AA	"Integrated Optical Mach-Zehnder Biosensor," by B.J. Luff, et al., Journal of Lightwave Technology, 0733-8724/98 IEEE, Vol. 16, No. 4, April 1998, pp. 583-592.
	AB	"Sensitive Disk Resonator Photonic Biosensor," by Robert W. Boyd and John E. Heebner, Applied Optics, Vol. 40, No. 31, November, 2001, pp.5742-5747.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.